

**NC DIVISION MH/DD/SAS COMMUNITY MENTAL HEALTH SERVICES
BLOCK GRANT (CMHSBG)
INDIVIDUAL MONITORING
2008/2009**

LME:	Record #:	Date:
Control #:		Contract Provider:
Rating Codes: 0 = No 1 = Yes		Rating
1. There is evidence that this individual has a principle or primary diagnosis of Serious Mental Illness (SMI) (adults) or Severe Emotional Disturbance (SED) (children).		
2. There is evidence of consumer and/or family involvement in treatment planning.		
3. There is evidence that the services provided are comprehensive and integrated for individuals with SED or SMI who have multiple and complex needs.		
COMMENTS:		
MONITOR		

**NC DIVISION MH/DD/SAS COMMUNITY MENTAL HEALTH
SERVICES BLOCK GRANT (CMHSBG)
PROGRAM MONITORING INSTRUCTIONS
2008/2009**

1. The NC General Statutes define SMI among adults as persistent mental disability that results in “long-term limitation of functional capacities for the primary activities of daily living, such as interpersonal relations, homemaking-self-care, employment, and recreation.” North Carolina uses the federal definition of children with SED which is “. . . persons from birth up to age 18, who are currently or at any time during the past year, have had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria specified within the DSM-IV, that resulted in functional impairment which subsequently interferes with or limits the child's role or functioning in family, school, or community activities” (Federal Register, June 1998). The reviewer will be looking for an Axis I diagnosis from the DSM-IV TR (and its successors).
2. The monitor will review evidence of involvement in treatment planning in treatment plans, case management notes, assessments, etc.
3. The monitor will review the service plan, assessments and/or service documentation to determine that the individual received mental health services and one or more of the following services:
 - a. Primary health care
 - b. Social Services
 - c. Educational/vocational services
 - d. Transition services
 - e. Juvenile and criminal justice services
 - f. Substance abuse services